

COMPLIANCE LETTER
NORTH PACIFIC FISHING COMMISSION (NPFC)

Given the intention of the Shipowner / Owner _____, that the vessel referred to as _____ with IMO No. _____, to carry out operations within the North Pacific Fisheries Commission (NPFC) Area and on the species regulated by it, Panama under its condition as Cooperating Non-Contracting Party (CNCP), we hereby inform you that, in order to carry out fishing related activities within the NPFC, the vessel must be duly registered and authorized in the Commission’s Regional Vessel Register.

For the purposes of the present note, we refer to Article No. 2 of Executive Decree No. 131 of April 14, 2020, Article 11 of Law No. 204 of March 18, 2021 and Definitions section of the NPFC CMM 2023-03, which defines as:

Fishing Vessel: Any vessel engaged in capture or fishing related activities.

Fishing-related activities: Any operation in support or preparation of fishing, including landing, packing, processing, transshipment or transport of aquatic resources, which have not been previously landed in a port, as well as provision of personnel, fuel, fishing gear and other supplies both in port and at sea.

Transshipment: transfer of part or all of the fishery or aquaculture products from one vessel to another.

Other transfer activities: means a transfer of fuel, gear, materials, or other supplies, or a transfer of at least one person, from one fishing vessel to another fishing vessel in the Convention Area.

PANAMA’S PARTICIPATION IN THE NPFC

Panama renews its participation as a Cooperating Non-Contracting Party (CNCP) to the North Pacific Fisheries Commission (NPFC), as approved by the members at the annual meeting, for an additional year.

In order to carry out the proper registration and authorization of the vessel before the NPFC, it must comply with the requirements established by the Commission, its rules of procedure and conservation measures applicable to vessels, their owners, owners and captains. The resident agent, as the ARAP contact, must submit an application for registration and authorization of the vessel with the NPFC, including the information detailed below:

1. DIRECT CONTACTS OF THE VESSEL AND ITS REPRESENTATIVES

The information of the direct contacts of the direct beneficiaries, owners, operators, resident agents and/or administrative manager of the fishing vessel or activities related to fishing, is a necessary requirement and framed in the rules and competencies of the Authority, regarding its regulation, monitoring and consultation of activities, as the governing body of the Republic of Panama, being necessary to provide, by the vessel representatives and/or the resident agent, the following details:

No.	Detail	Direct Beneficiary	Owner	Resident Agent	Operator	Vessel Contact
1	Name					(Master’s name)
2	Address (Street, Local Name, Name, or Office No., City and Country)					N/A
3	Phone/satellite Number					
4	Email					
5	Additional Information					

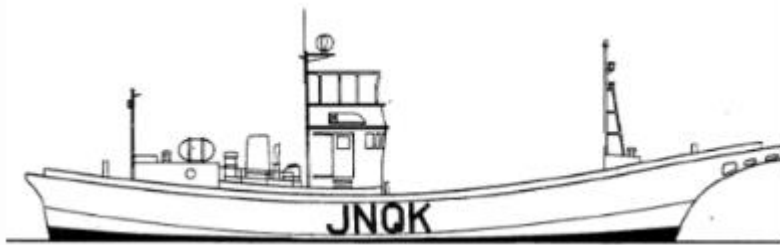
2. PROVISION OF VESSEL PARTICULARS FOR REGISTRATION OF THE VESSEL WITH THE NPFC

Registration of Vessels

In accordance with the CMM 2021-01 Conservation Measure, updated on July 10, 2021, for conservation and management measures on information requirements for the registration of vessels with the NPFC, each vessel must comply with and provide the following data and particulars:

- a) Name of fishing vessel*;
- b) Previous Name (s) of fishing vessel (when applicable);
- c) Registration number*;
- d) Previous registration number(s) (when applicable);
- e) Port of Registry*;
- f) Previous port(s) of registry (when applicable);
- g) OMI number*;
- h) Name and address of owner or owners*;
- i) Name* and citizenship of the master*;
- j) Previous Flag (if any);
- k) International Radio Call Sign (IRCS)*;
- l) Maritime Mobile Services Identity (MMSI) (when applicable);
- m) Vessel's communications types and numbers, including, where available, any data or satellite telephony services / devices;
- n) Full length color photograph(s) showing Side view including IRCS. Photographs must show clear and unobstructed views that demonstrate compliance with vessel marking requirements to be accepted by the Secretariat for addition to the database; Provision of additional photographs showing bow and stern view are encouraged*;

Sample image of photo (Full length with IRCS)



- o) Place and date of built (Country / Member) and Year of built*;
- p) Type of vessel*, as specified in the standard abbreviations of the current FAO International Statistical Standard Classification of Fishing Vessels (ISSCFV) by vessel type in FAO;
- q) Normal crew composition;
- r) Type of fishing method(s) as specified in the current abbreviations of FAO International Statistical Standard Classification of Fishing Gear (ISSCFG):
- s) Length*, including the length type* and unit of measurement*;
- t) Draft, including the draft type and unit of measurement:
- u) Breadth*, including the breadth type* and unit of measurement*;
- v) Gross registration tonnage*, or gross tonnage* (specify which):
- w) Engine power or main engines, including unit of measurement*;
- x) The nature of the fishing authorization granted by the flag State, such as the type or method of approved fish and main target species and authorized periods*;
- y) Hold capacity for fishery product, in cubic meters*;
- z) Number, type, and capacity of the freezer, including unit of measurement*.

* The asterisk denotes mandatory items.

The fishing vessel may not carry out activities in the Convention Area until it is authorized and published in the Vessel Register, on the NPFC website.

In case of modifications or updates of these elements, as well as the suspension of activities in this Area either by voluntary withdrawal, suspension of authorization, cancellation of flag registry, scrapping, loss, sinking, sale or judicial auction of the vessel or for any other reason, it must be formally and timely

informed to the ARAP, in order to carry out the formalities before the corresponding organizations including the NPFC.

3. VESSEL MARKING FOR ITS IDENTIFICATION

Regarding the measures necessary for the correct marking of vessels, they are established in paragraph 5 of the Agency's Conservation Measure CMM 2021-01, on information requirements for vessel registration:

“5. Each Commission Member and Cooperating non Contracting Party shall ensure that every fishing vessel authorized to fly its flag bear markings that are readily identified in accordance with the FAO Standard Specifications for the Marking and Identification of Fishing Vessels, and recognize that non-compliance with these standards shall be considered a serious violation according to Article 17, paragraph 5 of the NPFC Convention and Article 21 Paragraph 11(f) of the United Nations Fish Stocks Agreement.”

In accordance with the provisions of Technical Circular 020–2021 of March 10, 2021, issued by ARAP and addressed to all Shipowners, Operators, Masters and Resident Agents of Panamanian flag vessels authorized to carry out fishing activities or related to international fishing service:

- a) International Radio Call Signal (IRCS) or Call Letter, it must be visible on the vessel's hull or in the superstructure, as well as on top, on deck or any horizontal surface, so that it can be observed from another vessel or from the air.
- b) The name of the vessel shall be visible on the hull bulwarks, superstructure and stern of the vessel, in such a way that it can be observed from another vessel or from the air.
- c) The International Maritime Organization (IMO) registration number, shall be visible on the stern or on the hull or superstructure, as well as on the interior, legible to the naked eye according to IMO standards, in such a way that it can be observed from another vessel or from the air.
- d) National Registration Number, which shall be visible on the hull of the vessel or on the superstructure, as well as on the top, on deck or any horizontal surface, in such a way that it can be observed from another vessel or from the air.
- e) Name of the Port of Registry, which shall be on the hull bow, superstructure, and vessel's stern, so that it can be observed from another vessel or from the air.

The marking for the identification of fishing vessels and fishing related activities shall comply with the minimum standard according to the length using as reference the following table:

Total Length	Letter/number heights should not be lower than
25 m o más	1.0 m
20 m ≥ 25 m	0.8 m
15 m ≥ 20 m	0.6 m
12 m ≥ 15 m	0.4 m
5 m ≥ 12 m	0.3 m
< 5 m	0.1 m

4. VMS DATA TRANSMISSION

Each vessel authorized to carry out activities within the Convention area shall have a Vessel Monitoring System (VMS), which must be connected and constantly transmitting satellite communication data to the Authority's Fisheries Monitoring Center (FMC) (location data, speed, course, date and time) in accordance with our regulations and to the Commission's conservation measure CMM 2023-12, effective July 19, 2023, with the following parameters:

- a) Authorized vessels shall provide accurate VMS data to the NPFC Secretariat through the ARAP Fisheries Monitoring Centre (FMC);
- b) **The frequency of transmission of VMS data to the CSP shall be hourly;**
- c) ARAP may require its fishing vessels to transmit VMS Data directly to the Secretariat;
- d) ARAP will ensure that VMS data is transmitted automatically to the Secretariat and received no later than 60 minutes after receipt of the data in its FMC.
- e) In the event that a mobile transmission unit (MTU) has not transmitted VMS data for four (4) hours, the master of the fishing vessel shall manually report missing and subsequent positions to the

Authority's FMC and/or the Secretary by other means of communication, as required by the Authority and the Commission.

- f) ARAP shall require that an MTU that fails to transmit VMS data be repaired or replaced as soon as possible and, in any event, within seven (7) days of the failure to transmit VMS data.
- g) If the fishing vessel returns to port after a MTU VMS data transmission failure, ARAP will not allow the vessel to operate in the Convention Area until the MTU has been replaced and it successfully transmits VMS data.
- h) If ARAP discovers that an MTU has been unable to transmit VMS for twelve hours, it shall immediately notify the master of the fishing vessel, the owner or the authorized representative of the fishing vessel of the failure. If a transmission failure occurs more than twice in a one-year period, ARAP shall investigate the matter, including having an authorized official examine the MTU on board the vessel, the result of this investigation shall be forwarded to the NPFC Secretariat within fifteen (15) days of its completion.

Guidance on Minimum Standards for Mobile Transmitting Units (MTUs)

- a) The mobile transmitting unit (MTU) shall automatically and independently of any intervention by the fishing vessel, transmit VMS data as required by NPFC.
- b) The VMS data shall be obtained from a satellite-based positioning system.
- c) MTUs on fishing vessels must be capable of transmitting VMS data at least every fifteen minutes.
- d) MTUs on fishing vessels must be tamper-proof so as to preserve the security and integrity of VMS data.
- e) Storage of VMS data and other relevant information within the MTU must be safe, secure and integrated within a single unit under normal operating conditions.
- f) It must not be reasonably possible for anyone, other than the Fisheries Monitoring Center (FMC), to alter any of the VMS data stored in an MTU, including the frequency of position VMS data transmission to the FMC.
- g) Any features built into the MTU or its software to assist with servicing shall not allow unauthorized access to the MTU that could potentially compromise the operation of the VMS.
- h) MTUs shall be installed on fishing vessels by an authorized installer in accordance with the manufacturer's specifications and applicable standards and in accordance with a flag State's relevant domestic legal obligations, procedures and conditions
- i) Under normal satellite navigation operating conditions, VMS data must include the geographical location of a fishing vessel within an accuracy of 100 meters.
- j) The MTU and/or the VMS service provider must be able send VMS data to multiple independent destinations.
- k) The MTU and its component parts shall be fully integrated and housed in the same tamperproof physical enclosure.
- l) The MTU must have:
 - (a). all components sealed by the manufacturer; or
 - (b). official seals, individually identified with unique serial numbers, applied..
- m) Relevant domestic legal obligations, procedures and conditions for MTU installation on fishing vessels should be forwarded by members and cooperating non-Contracting Parties to the Secretariat or made available upon request.
- n) The MTU must have an alternate power unit, to act as a backup in case of failure of the main power, to enable the MTU to continue to meet the VMS data transmission requirements of this CMM.
- o) The MTU should include audible or visible alarms to indicate a unit malfunction.

5. TRANSSHIPMENT ACTIVITIES AND UNLOADING IN PORT

According to Conservation Measure CMM 2016-03 of January 16, 2017 and CMM 2023-03 which will enter into force from July 19, 2023, the Authority establishes the procedures for the regulation, monitoring and reporting of transshipment activities of fishery resources or products regulated by the NPFC that

have been caught in the Convention Area regardless of where the transshipment activity takes place; this will apply to all vessels authorized by Panama before the NPFC¹.

Rules for Engaging in Transshipments:

- a) A fishing vessel shall only engage in a transshipment, or other transfer activity in the Convention Area, if both the offloading and receiving vessel are duly authorized by its Flag State and included in the NPFC Vessel Registry.
- b) A fishing vessel is prohibited from operating as both an offloading vessel and a receiving vessel in the same trip.

Follow-up actions:

Advance Notifications for Transshipments:

- a) For transshipments within the Convention Area, the Panamanian flag vessel shall provide the advance notification form (Annex 1) of the activity to ARAP completely filled out, to transshipment@arap.gob.pa, transshipment.arap@gmail.com and with a copy to transshipment@npfc.int, NPFC secretariat e-mail, **as soon as possible, and at least 24 hours in advance of the intended transshipment**. This also applies to advance notification of other transfer activities.
- b) If the advance notification of transshipment requires modification because such activity does not start after 24 hours of the estimated start time, or within 20 nautical miles of the estimated start location, as contained in the advance notification, the Panamanian vessel involved in the transshipment, shall modify the submitted advance notification and forward it to the above mentioned e-mail addresses. This also applies to other transfer activities.
- c) The advance notification must contain the identification information of both the donor and recipient vessel, the information of the product to be transshipped (regulated species, bycatch and non-regulated species), and the information of the site where the transshipment will take place (coordinates);
- d) If a Panamanian-flagged fishing vessel, intends to engage in a transshipment in an area under national jurisdiction, including in a port, it shall not start the operation unless an authorization from the relevant flag and coastal or port State has been provided following the receipt of the transshipment advance notification.
- e) If a transshipment is cancelled before it is undertaken, the Panamanian-flag fishing vessel that intended to engage in the transshipment, shall notify the Secretariat of the cancellation as soon as possible.

Transshipment Declaration:

- a) A Panamanian-flagged fishing vessel having engaged in a transshipment activity, shall provide a transshipment declaration to the ARAP as soon as possible and at least 48 hours after the event occurred; and to the Secretariat of the Commission shall have a period of 10 days to provide said declaration.
- b) The Panamanian-flagged fishing vessel shall provide the transshipment declaration form (Annex 2), duly completed and signed by the captains of both vessels and the observer, if applicable, to the Authority (ARAP), to the e-mail transshipment@arap.gob.pa, transshipment.arap@gmail.com and to the e-mail of the Secretariat of the Commission, transshipment@npfc.int.
- c) The Panamanian-flagged fishing vessel, shall maintain an electronic or physical record on board of each transshipment it has engaged in during the current trip. The record shall include each transshipment declaration.
- d) If a Panamanian-flagged receiving vessel receives catch from more than one offloading vessel, the receiving vessel shall ensure that the catch from each offloading vessel is stored separately and readily identifiable. The receiving vessel shall have a stowage plan available on board at all times.
- e) All reporting related to a transshipment shall include all marine species, including bycatch and unregulated species, taken in the Convention Area.

¹ NPFC transshipment notification form, NPFC transshipment report form and unloading in port report form.

- f) In the event of mobile transmitting unit failure, the transshipment shall be suspended, and only resume once the fishing vessel complies with the relevant procedures in CMM on the Vessel Monitoring Systems (VMS).
- g) Monitoring and evaluation compliance of all transshipments shall be undertaken in accordance with the Conservation and Management Measure (CMM) for the NPFC Compliance Monitoring Scheme and domestic regulations issued by the Authority.

Port unloading notification and declaration:

- a) Panamanian flag fishing vessels, which intend to participate in an unloading activity in authorized port, shall provide the Port Entry Notification form, as soon as possible and at least 24 hours prior to the planned unloading, for its due authorization.
- b) In accordance with port unloading activities, fishing vessels must report the unloading activities carried out as a result of transshipment activities by means of the Port Discharge Report form (F-INS-017-2020 Rev.02), provided by the Authority and within a period of no more than 24 hours after completion of the unloading.
- c) Port entry notification and port discharge report forms shall be provided only to ARAP at transshipment@arap.gob.pa, transshipment.arap@gmail.com. And they are available on the ARAP website at the following link: <https://arap.gob.pa/plantillasdetrasbordo/>

On-Board Observer Program:

- a) The obligations related to observer coverage on Panamanian-flagged fishing vessels will come into effect as of September 1, 2023.
- b) As of this date, Panama flagged receiving fishing vessels (refrigerated cargo) engaged in a transshipment activity, shall ensure have an observer is from the national observer program of a Member of the Commission, or a Cooperating non-Contracting Party on board, and his is familiar with NPFC fishery resources, fishing activities and NPFC conservation and management measures (CMMs).
- c) An observer shall be provisioned, accommodated, including access to independent communications, and provided safe working conditions by the receiving vessel in accordance with the Commission Member's, or Cooperating non-Contracting Party's, domestic laws and regulations.
- d) An observer shall have full, unobstructed, and safe access to each fishing vessel involved in the transshipment, including, inter alia, access to crew, gear, equipment, records, electronic means of communication, and fish holds.

Violations:

- a) To make a transshipment that contravenes the provisions of paragraphs (a) and (b) of the section **Rules for engaging in transshipments**.
- b) That it have engaged in a transshipment activity, or other transfer activity, without having provided a advance notification, or as applicable, a transshipment declaration; or non-compliance with literal (b) on the force majeure activity.
- c) Providing falsified information on a transshipment declaration.
- d) Participating in a transshipment activity, without an observer on board, at least from the receiving vessel.
- e) Transshipment of undeclared fishery resources or fishery resource products.
- f) All violations described in domestic and international regulations applied for non-compliance in fishing related activities.

Force Majeure Activity:

- a) Nothing in the Commission's CMMs and domestic regulations prevents a fishing vessel from engaging in a transshipment, or other transfer activity, with another fishing vessel in cases of force majeure that threaten the safety of the crew or result in a significant financial loss through fish or fish product spoilage.
- b) In the case of force majeure, the fishing vessel shall:

- i. Make every effort to notify the Secretariat of the Commission and ARAP prior to the commencement or completion of the transshipment, or other transfer activity, as well as the circumstances giving rise to the force majeure; and
- ii. Provide a transshipment declaration on the transshipment activity as soon as possible, but within 10 days of the transshipment.

This information is of utmost importance for Panama, as a cooperating member, to send a summary of the information captured from the transshipment declarations each year to the NPFC. The Scientific Committee and the Technical Compliance Committee will recommend the specific fields of information to be included in the summary. The summary will be provided as an attachment to Panama's annual report.

6. INSPECCIONES A BORDO

Based on Conservation Measure CMM 2017-09 of November 28, 2017, which regulates high seas boarding and its inspection procedure within the NPFC Convention Area, Panamanian fishing vessels registered in this organization are subject to on-board inspections carried out by any member of the Commission together with other support entities and in communication with the flag State for the purpose of ensuring compliance of Convention provisions and compliance with conservation measures in force and adopted by the Commission.

Boarding and inspection in accordance with these procedures shall:

- a) It shall be carried out in accordance with the principles of good practice of seafarers internationally accepted in order to avoid risks to the safety of fishing vessels and crews;
- b) It is carried out to the greatest extent possible so that does not unduly interfere with the legal operation of the fishing vessel;
- c) Take reasonable precautions to avoid actions that could adversely affect the quality of the capture; and
- d) It shall not be conducted in such a manner as to constitute harassment of a fishing vessel, its officers or crew.

When conducting a boarding and inspection, authorized inspectors shall:

- a) Submit his/her ID to the vessel's master and a copy of the text of the relevant measures in force in accordance with the Convention in the relevant area of the high seas;
- b) Not interfere with the master's ability to communicate with the authorities of the fishing vessel;
- c) Complete the inspection of the vessel within four (4) hours unless evidence of a serious violation is found;
- d) Clearly collect and document any evidence that indicates a violation of measures in force under the Convention;
- e) Provide the master before leaving the vessel, a copy of an interim report on boarding and inspection, including any objections or statements that the master wishes to include in the report;
- f) Leave the vessel immediately after the completion of the inspection if they find no evidence of a serious infringement; and
- g) Provide a full report on boarding and inspection to the fishing vessel authorities in accordance with paragraph 31, which will also include the master's statement.

During the conduct of a boarding and inspection, the master of the fishing vessel shall:

- a) follow the internationally accepted principles of good practice of seafarers to avoid safety risks to approved inspectors and inspection vessels;
- b) Accept and facilitate fast and secure boarding by authorized inspectors;
- c) Cooperate and assist in the inspection of the vessel in accordance with these procedures;
- d) Not to assault, resist, intimidate, interfere, obstruct, or unduly delay inspectors in the performance of their duties;
- e) Allow inspectors to communicate with the crew of the vessel, the vessel authorities, the on-board observers, as well as the authorities of the fishing vessel being inspected;
- f) Provide on board inspectors with reasonable facilities, including, where appropriate, food and accommodation; and

- g) Facilitate safe landing by inspectors.

If the master of a fishing vessel refuses to allow an authorized inspector to carry out a boarding and inspection in accordance with these procedures, that master shall provide an explanation of the reason for that refusal. The authorities of the vessel under inspection shall immediately notify the authorities of the fishing vessel, as well as the Commission, of the master's refusal and any explanation.

The authorities of the fishing vessel, unless generally accepted international regulations, procedures and practices relating to safety at sea make it necessary to delay boarding and inspection, shall order the master to accept boarding and inspection. If the master does not comply with such instruction, the Member shall suspend the vessel's authorization to fish and order the vessel to return immediately to port. The Member shall immediately notify the inspection vessel authorities and the Commission of the measures taken in these circumstances.

7. COMPLIANCE WITH REGULATIONS AND RULES

All vessel engaged in fishing or fishing-related activities shall comply with national and international standards, including the application mutatis mutandis, of the minimum standards established by FAO, in particular the United Nations Agreement on Fish Stocks in 1995 and the measures adopted by the Commission, by the FAO World Fisheries Conference in 1984, adopted by Law No. 64 of 29 October 2008.

In this regard, we refer to Paragraph 11 of Article 21 of that Agreement, read as follows:

Article 21: Subregional and Regional Cooperation for Implementation Purposes

...

11. For the purposes of this Article, serious infringement means:

- a) Fishing without a valid license, authorization or permit issued by the flag State in accordance with Article 18, paragraph 3 (a);
- b) The lack of accurate records of data on captures and related activities, as required by the relevant subregional or regional fisheries management organization or arrangement, or to provide significantly inaccurate capture information, in contravention of the capture declaration requirements in force in that organization or arrangement;
- c) Fishing in a closed area, fishing during the closure of the fishing season or fishing without quota or after reaching the quota established by the relevant subregional or regional fisheries management organization or arrangement;
- d) Fishing for a population subject to a moratorium or whose fishing has been prohibited;
- e) Use prohibited fishing tackle;
- f) Falsify or conceal the marks, identity, or registration of a fishing vessel;
- g) Conceal, manipulate, or destroy evidence related to an investigation;
- h) Commit multiple violations which constitute a serious failure to comply with conservation and management measures; or
- i) Any other violation that may be specified in procedures established by the relevant subregional or regional fisheries management organization or arrangement.

8. AUTHORITY CONTACTS INFORMATION BY COMPLIANCE ISSUE

General consultations and vessels registration with the NPFC

Office for International Technical Cooperation

E-mail: hsfs@arap.gob.pa, Phones: (507) 511-6008 / 18

Transshipment and Unloading at Port

Fisheries Monitoring Center

E-mail: transshipment@arap.gob.pa / transshipment-arap@gmail.com,

Phones: (507) 511-6031 / 65

VMS

Fisheries Monitoring Center

E-mail: monitoreo@arap.gob.pa , Phones: (507) 511-6031 / 65

Legal Base: Decree Law No. 17 of July 9, 1959; Law No. 44 of November 23, 2006; Law No. 64 of October 29, 2008; Law No. 7 of February 10, 1998; Executive Decree No. 96 of November 12, 2009; Resolution ADM/ARAP No. 059 of October 7, 2019; Resolution ADM/ARAP No. 100 of December 11, 2019; Executive Decree No. 131 of April 14, 2020; Executive Decree No. 98-A of November 17, 2009; Resolution ADM/ARAP No. 003 of November 18, 2009; Executive Decree No. 43 of September 14, 2016; Resolution ADM/ARAP No. 002 of January 7, 2020; Executive Decree No. 161 of June 6, 2013; Resolution ADM/ARAP No. 043 of June 5, 2020; Executive Decree No. 17 of June 30, 2008 and Resolution ADM/ARAP No. 050 of October 5, 2021.

The applicable conservation and management measures referred to herein are attached and will be subject to change for the latest updates or amendments based on the last annual meeting of the Commission and shall be communicated in a timely manner².

As a Resident Agent of the vessel, I confirm that I have read carefully and have full knowledge of the considerations presented in this document, I also indicate that, the information in the present note will be forwarded to the shipowner, owner, direct beneficiary, or any representative of the vessel so that it is fully aware of the information explained in this document, in order to comply with the standards of compliance by the Panamanian flagged vessel, before the North Pacific Fisheries Commission (NPFC).

Evidence of Receipt:

Name of Resident Agent or
Owner/Company

Responsible Name:

Signature:

Date:

Republic of Panama, at _____ days of the month of _____ of 20_____.

² Commission for the Conservation and Management Measures: CMM 2016-03, CMM 2017-09, CMM 2019-01, CMM 2023-03, CMM 2023-12

ADVANCE NOTIFICATION

INSTRUCTIONS

In completing the advance notification, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

CLARIFICATIONS

To assist in the accurate and clear completion of the advance notification:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- “OTA” is an abbreviation for “other transfer activities”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at www.npfc.int/priority-species, or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes (fao.org);
 - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).

ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (1/2)			
PART I – VESSEL INFORMATION			
	INFORMATION	OFFLOADING VESSEL	RECEIVING VESSEL
1	Vessel Name		
2	Flag State		
3	IMO number		
4	IRCS, if eligible, or registration number		
5	Start of Trip		
	Port Name		
	Date of Departure		
6	End of Trip (if known)		
	Port Name		
	Date of Entry		
PART II – INFORMATION ON ANTICIPATED TRANSSHIPMENT			
7	Transshipment Location	<input type="checkbox"/> High Seas, In Convention Area <input type="checkbox"/> High Seas, Outside Convention Area	<input type="checkbox"/> In Port <input type="checkbox"/> NW
	Port Name (if applicable)		
	NW (if applicable)		
	Latitude and Longitude (estimated)	Latitude:	Longitude:
8	Transshipment Start Date (estimated)		
9	Transshipment Start Time (estimated)		
PART III – VERIFICATION			
10	Vessel Master / Vessel Owner or Company		
	Name		
	Nationality		
	Email address (as applicable)		
	Telephone number (as applicable)		
	Signature		
11	Observer (for the receiving vessel only, if applicable)		
	Name		
	Nationality		
	Signature		

ADVANCE NOTIFICATION FOR TRANSSHIPMENTS (2/2)							
In completing this form, ensure the estimated information is as accurate as reasonably possible.							
Weight (kg) or unit used (e.g. box, basket), and the estimated total weight in kg:							
FAO Code	Geographic Location	State of Fish	Type of product (whole, G&G, etc.)	Unit	Kg per unit	Number of Units	TOTAL (kg)

ADVANCE NOTIFICATION FOR OTHER TRANSFER ACTIVITIES			
PART I – VESSEL INFORMATION			
	INFORMATION	OFFLOADING VESSEL	RECEIVING VESSEL
1	Vessel Name		
2	Flag State		
3	IMO Number		
4	IRCS, if eligible, or registration number		
PART II – INFORMATION ON ANTICIPATED OTA			
5	OTA Location	<input type="checkbox"/> High Seas, In Convention Area	
	Latitude and Longitude (estimated)	Latitude:	Longitude:
6	OTA Start Date (estimated)		
7	OTA Start Time (estimated)		
PART III – VERIFICATION			
8	Vessel Master		
	Name		
	Nationality		
	Signature		

TRANSSHIPMENT DECLARATION

INSTRUCTIONS

In completing the transshipment declaration, the fishing vessel shall ensure that:

1. the information is as **accurate** as possible, and legible; and
2. the information is provided in **clear, legible print** in accordance with the clarifications below (either by hand or electronically).

CLARIFICATIONS

To assist in the accurate completion of the transshipment declaration:

- use the DD-MM-YYYY format to specify the date (e.g. 01-11-2022);
- use the HH:MM format, and the 24-hour clock (UTC, or specify time zone) to specify the time (e.g. 23:15);
- “NW” is an abbreviation for “national waters”;
- use the Degrees (°) Minutes (′) format to specify the latitude and longitude (e.g. 40° 26′ N, 79° 58′ W);
- for “FAO CODE”, utilize the FAO 3-alpha codes found at www.npfc.int/priority-species, or Fisheries and Aquaculture - All Information Collections - ASFIS List of Species for Fishery Statistics Purposes (fao.org);
 - the Codes for major NPFC species are; SAP (Pacific saury), MAS (chub mackerel), MAA (blue mackerel), JAP (Japanese sardine), OFJ (neon flying squid) and SQJ (Japanese flying squid).
- for “GEOGRAPHIC LOCATION”, state where the fisheries resource (or fisheries resource processed into a product) was taken; and
- for “STATE OF FISH”, state whether the fisheries resource, or product of fisheries resource, is: (1) fresh (FRS), or (2) frozen (FRZ).

TRANSSHIPMENT DECLARATION (1/2)			
PART I – VESSEL INFORMATION			
	INFORMATION	OFFLOADING VESSEL	RECEIVING VESSEL
1	Vessel Name		
2	Flag State		
3	IMO number		
4	IRCS, if eligible, or registration number		
5	Vessel Owner or Company (if different from Vessel Master)		
	Name		
	Nationality		
	Phone Number		
	Email		
6	Start of Trip		
	Port Name		
	Date of Departure		
7	End of Trip (if known)		
	Port Name		
	Date of Entry		
PART II – TRANSSHIPMENT INFORMATION			
	INFORMATION	COMMENCEMENT	COMPLETION
8	Transshipment Location	<input type="checkbox"/> High Seas, In Convention Area <input type="checkbox"/> High Seas, Outside CA <input type="checkbox"/> In NW <input type="checkbox"/> In Port	<input type="checkbox"/> High Seas, In Convention Area <input type="checkbox"/> High Seas, Outside CA <input type="checkbox"/> In NW <input type="checkbox"/> In Port
	Port Name (if applicable)		
	NW (if applicable)		
	Latitude		
	Longitude		
9	Transshipment Date		
10	Transshipment Time		
PART III - VERIFICATION			
	INFORMATION	OFFLOADING VESSEL	RECEIVING VESSEL
10	Vessel Master / Vessel Owner or Company		
	Name		
	Nationality		
	Signature		
11	Observer		
	Name		
	Nationality		
	Signature		

TRANSSHIPMENT DECLARATION (2/2)

PART I – FISHERIES RESOURCES OR PRODUCTS TRANSSHIPPED

Weight (kg) or unit used (e.g. box, basket) and the estimated total weight in kg:

FAO Code	Geographic Location	State of Fish	Type of product (whole, G&G, etc.)	Unit	Kg per unit	Number of Units	TOTAL (kg)

PART II – FISHERIES RESOURCES OR PRODUCTS STILL ON OFFLOADING VESSEL (for offloading vessel)

FAO Code	Geographic Location	State of Fish	Type of product (whole, G&G, etc.)	Unit	Kg per unit	Number of Units	TOTAL (kg)

PART III – FISHERIES RESOURCES OR PRODUCTS CURRENTLY ON RECEIVING VESSEL (for receiving vessel)

FAO Code	Geographic Location	State of Fish	Type of product (whole, G&G, etc.)	Unit	Kg per unit	Number of Units	TOTAL (kg)